



**NOAA
FISHERIES**

Software Developers Session

National Marine Fisheries Service (NMFS)

Partner Government Agency (PGA)

Message Set

Dale Jones

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The NMFS ITDS Objectives

1. Transition from paper to electronic import and export filing via a “single window”
2. Utilize Electronic Data Interchange (EDI) via the CBP Automated Broker Interface (ABI) and the PGA Message Set to collect data necessary to facilitate an electronic (automated) authorization decision for product/shipment release
3. Utilize the CBP Document Imaging System (DIS) CBP to collect the NMFS required international forms and eliminate the collection of all paper forms (*NMFS lists approximately 20 forms that may be collected through DIS. Some are used frequently and some only occasionally.*)
4. Utilize Interoperability Web Services (IWS) to conduct system to system automated communications necessary to facilitate the automation of import and export authorizations (*NMFS does not have direct “hold authority”*)
5. Utilize Secure File Transfer Protocol (SFTP) for NMFS to receive necessary Entry, Message Set and DIS data from CBP on NMFS related filings from CBP.
6. Utilize an electronic NMFS trade permitting system to facilitate timely permit acquisitions and an electronically updated data base for automated permit validation (*All NMFS regulated international trade will be covered by a single international trade permit.*)



There are three NMFS Message Set Programs

- Highly Migratory Species
- Antarctic Marine Living Resources (AMLR)
- NOAA 370 Tuna Tracking and Verification Program (TTVP) – Dolphin Protection (This is an import only program)

These three programs “cover” approximately 80 HTS products. All other marine products imported and exported (over 700 HTS codes) do not require an NMFS international trade permit nor the submission of additional filing information (message set or digital imaged documents) beyond that which Customs or Census may otherwise already require.

The Presidential Task Force on Combating Illegal Fishing and Seafood may recommend additional commodities for monitoring, for which the NMFS PGA message set would apply. (Presidential Memorandum --

Comprehensive Framework to Combat Illegal, Unreported, and Unregulated Fishing and Seafood Fraud – July 17, 2014)



Primary Products Covered by the three Programs

- Tuna – Both the HMS and the NOAA 370 Programs cover tuna
- Swordfish – HMS Program
- Toothfish - Antarctic Marine Living Resources Program

Most of the shipments that require the filing of the NMFS “Message Set” and supplemental documents through “DIS” include the three products above. The 370 program is also used to screen for yellow fin tuna imports embargoed if originating from or harvested by 9 specific countries and a 370 submission is also required for imports on approximately 45 products that, if from a high seas driftnet listed country (currently only 1 country, Italy), to certify that such product was not harvested by use of high seas driftnets.

The screenshot shows a web browser window displaying the CBP.gov website. The address bar shows 'www.cbp.gov/trade/ace/catair'. The page is titled 'Interface Requirements (CATAIR)' and contains the following sections:

- For The Trade Community**
 - ACE and Automated Systems
 - Getting Started with Automated Systems
 - Technical Documentation
 - ACE Technical Information
 - ACE Federal Register Notices
 - Automated Commercial System/Broker Interface
 - Automated Export System
 - ACE Features
 - Border Interagency Executive Council
 - Programs and Administration
 - Priority Trade Issues
 - Rulings and Legal Decisions
 - Stakeholder Engagement

- Interface Requirements (CATAIR)**

These documents contain the technical requirements for transmitting automated data to the Automated Commercial Environment (ACE) via the Automated Broker Interface (ABI).

To download all ACE ABI CATAIR chapters in zip file format:

- Download All - File 1
- Download All - File 2
- ACE Secure Data Portal**
- Log In
- Apply for an Account
- Stay Informed**
- CSMS: Sign Up for Email Messages
- Find an Outreach Event
- TSN: Learn about the Trade Support Network (TSN)
- Support Resources**
- Training and

The page also features a sidebar with a background image of a warehouse and a 'Learn latest details on ACE' link at the bottom.

CBP.gov Web Site for PGA Message Set CATAIR and Appendices

NOAA HMS Program; Requirements Continued

PG-01	Commercial Description of Shipment (product)	<i>Such as "Fresh Swordfish"</i>
PG-01	Partner Government Agency Code (PGA)	<i>NM (code used for National Marine Fisheries)</i>
PG-01	Government Agency Program Code	<i>HMS (Highly Migratory Species Program code)</i>
PG-01	Electronic Image Submitted	<i>"Y" indicates an image will be included (blank for shark fins only – no image required)</i>
PG-01	Confidential Information Indicator	<i>"Y" indicates the info is confidential</i>
PG-06	Source Type Code	<i>Country role; e.g. "Harvesting Country"</i>
PG-06	Country Code	<i>A two letter code from Appendix B that shows from what Country's territorial waters the harvest took place or if it was on the high seas in international waters, the code "ZZ" is used.</i>
PG-06	Geographic location (filer must provide the ocean area or areas where the product was harvested)	<i>Ocean Geographic Area Codes Use valid codes for the ocean area of catch example; North Atlantic from appendix PGA.</i>
PG-06	Processing Type Code	<i>The fishing vessel's harvesting gear type (see code list)</i>
PG-14	Licenses, Certificates, Permits or Other (LCPO)	<i>The license type per Appendix PGA is "NM3" provide the NMFS HMS permit number for the importer of record– (NMFS HMS International Trade Permit will change with new rule 2015)</i>
PG-22	Importers Signed Document	<i>"Y" indicates that the importer has a copy of the required document (none required for shark fins)</i>
PG-22	Document Identifier (will be repeated multiple time for multiple documents)	<i>Include the code from the Appendix PGA for the specific form- document images submitted via the Document Imaging System. At least one of the Catch, Statistical or Re-export documents is required for all imports, exports or re-exports of HMS products except for shark fins. These documents must be submitted at the time of the filing to CBP via the Document Imaging System/DIS.</i>
PG-22	Compliance Description (will be repeated multiple time for multiple documents)	<i>Enter the unique/specific number that appears on every document submitted via the DIS. This is the specific "serialized" number assigned to the individual reporting form for that specific harvest. (The unique number is entered in positions 51 through 80 and must correspond to the document identifier for each PG Record 22 in positions 6-12) (There are 30 characters to utilize in space 51 through 80.)</i>
PG-31	Commodity Harvesting Vessel Character Type Code	<i>Flag(Country) of the harvest vessel (two digit ISO code from appendix B)</i>



Toothfish Program; Requirements Overview

NMFS Antarctic Marine Living Resources (AMLR) Program Requirements (Primarily Fresh or Frozen Toothfish Imports)		
General NMFS Requirements and Restrictions	Consult CBP resources to determine which products/Harmonized Tariff Schedule (HTS) codes are regulated by the National Marine Fisheries Service. See also the Federal Register, United States Code (USC), and Code of Federal Regulations (CFR.)	
	An NMFS AMLR Permit is Required for all toothfish imports	Permits can be obtained at www.xxxx.gov
	All Fresh toothfish must be accompanied by Dissostichus Catch Documents (DCD)	(almost all fresh product is air shipped)
	All Frozen toothfish requires NMFS Pre-Approval	
Document Images Required	DCDs for all fresh toothfish	
Mandatory PG-Records	PG-01	Commercial Description of Shipment (product)
	PG-01	Partner Government Agency (PGA)
	PG-02	Designation of items as “product” or “component”
Conditional PG-Records required by NMFS	PG-06	Ocean Area of Catch
	PG-14	Permit & Authorizing Document Data (toothfish pre-approval number)
	PG-17	Species Description
	PG-31	Harvest Vessel Flag Country (Vessel Name & Length Overall if required)



NOAA 370 Program; Requirements Overview

NMFS Tuna Tracking and Verification Program (TTVP / NOAA 370) Import Requirements (Processed or Frozen Tuna Imports; includes all tuna <i>except</i> fresh tuna)		
General NMFS Requirements and Restrictions	Consult CBP resources to determine which products/Harmonized Tariff Schedule (HTS) codes are regulated by the National Marine Fisheries Service. See also the Federal Register, United States Code (USC), and Code of Federal Regulations (CFR.) (370 program is not required/applicable to fresh tuna)	
	NMFS International Trade Permit (ITP) Required (<i>future – pending rule making</i>)	
	Note: For <u>all</u> Bluefin tuna and all frozen Big Eye tuna, see <u>also</u> NMFS HMS program for additional requirements	
Document Images Required	Images of NOAA 370 Fisheries Certificate(s) of Origin, including <u>all/multiple</u> copies of the 370 as necessary to document the harvest of <u>all</u> products in the shipment per section 4 of the Certificate.	
	All supplemental certifications (statements) attesting to the circumstances pertaining to the harvest per section 5 of the Certificate(s)	Potential supplemental/conditional certifications include; <ul style="list-style-type: none"> • High Seas Driftnet • Captain's • Observer's • International Dolphin Conservation Program (IDCP) Member Nation Statement
Mandatory PG Records	PG-01	Commercial Description of Shipment (product)
	PG-01	Partner Government Agency (PGA)
	PG-02	Designation of items as “product” or “component”
Conditional PG-Records required by NMFS	PG-06	Ocean Area of Catch and Gear Type
	PG-14	Permit Information (future requirement)
	PG-17	Species Description
	PG-22	Document Identifier (NMFS form names and unique document numbers) for all imaged documents
	PG-31	Harvest Vessel Flag Country (Vessel Name & Length Overall if required)





Questions and Comments, Contact:

Dale Jones

**National Marine Fisheries Service, Office of Science
and Technology**

**US Department of Commerce, National Oceanic and
Atmospheric Administration**

dale.jones@noaa.gov

301-427-8143

